

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANTS:** Amit Goyal

**DOCKET NO.:** 1205

**SERIAL NO.:**

**ART UNIT:**

**FILED:**

**EXAMINER:**

**TITLE:** Rolling Process for Producing Biaxially Textured Substrates

**INFORMATION DISCLOSURE STATEMENT**  
**under 37 CFR 1.56 and 1.97**

The Commissioner for Patents  
P. O. Box 1450, Alexandria, VA 22313

Sir:

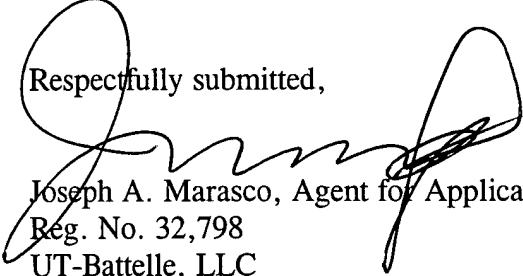
Submitted herewith on Form PTO-1449 is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also requests that an initialed copy of Form PTO-1449 be returned in accordance with MPEP Section 609.

Respectfully submitted,

  
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**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 on

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	
		Filing Date	
		First Named Inventor	Amit Goyal
		Group Art Unit	
		Examiner Name	
Sheet 1	of 3	Attorney Docket Number	1205

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	6,486,100		Goyal et al	11/26/2002	
	2	6,468,591		Goyal et al	10/22/2002	
	3	6,451,450		Feenstra et al	09/17/2002	
	4	6,447,714		Goyal et al	09/10/2002	
	5	6,440,211		Beach et al	08/27/2002	
	6	6,399,154		Feenstra et al	06/04/2002	
	7	6,375,768		Goyal	04/23/2002	
	8	6,331,199		Goyal et al	12/18/2001	
	9	6,270,908		Feenstra et al	08/07/2001	
	10	6,261,704		Goyal et al	07/17/2001	
	11	6,256,521		Goyal et al	07/03/2001	
	12	6,235,402		Beach et al	05/22/2001	
	13	6,180,570		Goyal	01/30/2001	
	14	6,159,610		Goyal et al	12/12/2000	
	15	6,156,376		Goyal et al	12/05/2000	
	16	6,150,034		Goyal et al	11/21/2000	
	17	6,114,287		Goyal et al	09/05/2000	
	18	6,106,615		Goyal et al	8/22/2000	
	19	6,077,344		Beach et al	6/20/2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ts
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	
				Filing Date	
				First Named Inventor	Amit Goyal
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	1205
Sheet	2	of	3		

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Examiner Signature		Date Considered	
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		Application Number			
		Filing Date			
		First Named Inventor	Amit Goyal		
		Art Unit			
		Examiner Name			
Sheet	3	of	3	Attorney Docket Number	1205

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	29	G.HAMMERL ET AL "Improving Coated Conductors," Appl. Superc. Conference, Houston, TX, Aug. 4-9, 2002.	
	30	G.HAMMERL ET AL "Large Grain Boundary Area Superconductors," Eur.Phys.J. B27, 299-301, 2002.	
	31	G.HAMMERL ET AL "Possible Solution of the Grain Boundary Problem for Applications of High Tc Superconductors," Appl. Phys. Ltrs., V.81, #17, October 21, 2002.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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